# Fundamental Bounds on Learning Performance in Neural Circuits [10] 

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Presented by Rylan Schaeffer
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- Synapses in biological networks lack persistence, undergoing significant turnover [3, 9, 8], with magnitude rivaling Hebbian plasticity [5]
- Across species and regions, neurons frequently make multiple synaptic connections to same postsynaptic neuron $[1,2,4,6]$
- What is the role of these processes? What (dis)advantages do these phenomena confer on biological circuits? [7]


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- For a given task, there is an optimal network size that maximizes rate of error reduction
- Below optimal size, increasing network size causes network to learn faster by minimizing effect of curvature


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- Goal: maximize $k$ to learn!
- Notation: cdot denotes normalized vector


## Error Reduction Depends on Gradient, Hessian

How do gradient, Hessian affect the "learning rate" $k$ ?

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k \approx-\frac{\|\nabla F[w(0)]\|_{2}}{F[w(0)]}\left[\dot{w}_{T}^{T} \nabla \hat{F}[w(0)]+\frac{T\left\|\dot{w}_{T}\right\|_{2}^{2}}{2\|\nabla F[w(0)]\|_{2}} \dot{\hat{w}}_{T}^{T} \nabla^{2} F[w(0)] \dot{\hat{w}}_{T}\right]
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Curvature competes with gradient to accelerate, slow or reverse learning. Fig 3A:

A



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- Assume network has no second-order information!
- Writing the weight change:

$$
\dot{w}_{T}=-\gamma_{1} \nabla \hat{F}[w(0)]+\gamma_{2} \hat{n}_{2}+\gamma_{3} \sqrt{\frac{N}{T}} \hat{n}_{3}
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Fig 3. Synaptic noise not pictured!

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Fig 3. Synaptic noise not pictured! How does each factor affect $k$ ?

## Weight Change Effect on Learning Rate

$$
k \approx-\frac{\|\nabla F\|_{2}}{F}\left[\dot{w}_{T}^{T} \nabla \hat{F}+T \frac{\left\|\dot{w}_{T}\right\|_{2}^{2}}{2\|\nabla F\|_{2}} \dot{\hat{w}}_{T}^{T} \nabla^{2} F \dot{\hat{w}}_{T}\right]
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Assume (1) $n_{2}, n_{3}, \nabla F$ uncorrelated; (2) $n_{2}, n_{3}$ independent from $\nabla^{2} F[w]$ i.e. $\left\langle n_{i}^{T} \nabla^{2} F n_{i}\right\rangle_{\hat{n}_{2}, \hat{c}_{3}}=\frac{\operatorname{Tr}\left(\nabla^{2} F\right)}{N}$.

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- Authors call $G_{F}\left[\dot{\hat{w}}_{T}\right]=\frac{\dot{\dot{\dot{\omega}}} \|_{2}^{2}}{}$ the "local task difficulty"


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- Authors argue that $\operatorname{sign}\left(G_{F}\left[\dot{\hat{w}}_{T}\right]\right)=\operatorname{sign}\left(\operatorname{Tr}\left(\nabla^{2} F\right)\right)>0$. Why?


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- Learning occurs when:

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- There exists optimal size $N^{*}$ that maximizes $\langle k\rangle_{\hat{n}_{2}, \hat{h}_{3}}$


## Local Task Difficulty



## Local Task Difficulty

Top: Low intrinsic noise $\left(\gamma_{3}=0.05\right)$. Bottom: High intrinsic noise $\left(\gamma_{3}=0.1\right)$.

B
linear network
nonlinear network



## Optimal Linear Network Size

- Student-teacher framework with $W \in \mathbb{R}^{o \times i}$ :

$$
y^{*}=W^{*} x \quad y=W x \quad F(W)=\frac{1}{2}\left\|y^{*}-y\right\|_{2}^{2}
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- Interpretation: add neurons or redundant synapses


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- Choose
- $c_{1}, c_{2}>1$
- Two semi-orthogonal matrices $B \in \mathbb{R}^{c_{1} \times i}, D \in \mathbb{R}^{c_{2} 0 \times o}$
- Random $W^{\prime} \in \mathbb{R}^{c_{2} o \times c_{1} i}$ such that $W=D^{T} W^{\prime} B$
- Interpretation: add neurons or redundant synapses
- Replace $W$ with $D^{T} W^{\prime} B$

$$
\begin{aligned}
y & =D^{T} W^{\prime} B x \\
F\left[W^{\prime}\right] & =F[W] \\
\left\|F\left[W^{\prime}\right]\right\|_{F}^{2} & =\|F[W]\|_{F}^{2} \\
\operatorname{Tr}\left(\nabla^{2} F\left[W^{\prime}\right]\right) & =c_{2} \operatorname{Tr}\left(\nabla^{2} F[W]\right)
\end{aligned}
$$

## Optimal Linear Network Size

$$
y=D^{\top} W^{\prime} B x \Longleftrightarrow D y=W^{\prime} B x
$$

A
linear network expansion

$$
\mathbf{y}=\mathbf{W u}
$$

$$
\mathbf{D} \mathbf{y}=\left(\mathbf{W}^{\prime} \mathbf{B}\right) \mathbf{u}
$$


inputs outputs


## Optimal Linear Network Size

- Define $N=i o, \tilde{N}=c_{1} c_{2} i o$


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- Compare learning rate $k(N)$ vs $k(\tilde{N})$ :

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\langle k(N)\rangle \approx \frac{-\|\nabla F\|_{2}}{F}\left[-\gamma_{1}+T \gamma_{1}^{2} \nabla \hat{F}^{T} \nabla^{2} F \nabla \hat{F}+T \frac{\operatorname{Tr}\left(\nabla^{2} F\right)}{2\|\nabla F\|_{2}^{2}}\left[\frac{\gamma_{2}^{2}}{N}+\frac{\gamma_{3}^{2}}{T}\right]\right]
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$$

- If $\nabla F\left[W^{\prime}\right]$ projects equally onto Hessian eigenvectors:

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\nabla \hat{F}\left[W^{\prime}\right]^{T} \nabla^{2} F\left[W^{\prime}\right] \nabla \hat{F}\left[W^{\prime}\right] \approx c_{2} \nabla \hat{F}[W]^{T} \nabla^{2} F[W] \nabla \hat{F}[W]
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- Previously:

$$
\frac{\operatorname{Tr}\left(\nabla^{2} F\left[W^{\prime}\right]\right)}{2\left\|\nabla F\left[W^{\prime}\right]\right\|_{2}}=\frac{c_{2} \operatorname{Tr}\left(\nabla^{2} F[W]\right)}{2\|\nabla F[W]\|_{2}}
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$$

- Thus:

$$
\langle k(\tilde{N})\rangle \approx \frac{-\|\nabla F\|_{2}}{F}\left[-\gamma_{1}+T c_{2} \gamma_{1}^{2} \nabla \hat{F}^{T} \nabla^{2} F \nabla \hat{F}+T c_{2} \frac{\operatorname{Tr}\left(\nabla^{2} F\right)}{2\|\nabla F\|_{2}^{2}}\left[\frac{\gamma_{2}^{2}}{\tilde{N}}+\frac{\gamma_{3}^{2}}{T}\right]\right.
$$

## Optimal Linear Network Size

Find $N^{*}$ that maximizes $k(\tilde{N})$ :

$$
N^{*} \approx \frac{T \gamma_{2}^{2}}{\gamma_{3}^{2}}\left(1-\frac{\gamma_{1}^{2}}{\gamma_{2}^{2}}\right)
$$

If no task-irrelevant plasticity, $\gamma_{2}=0 \Rightarrow N^{*} \approx 0-\frac{T \gamma_{1}^{2}}{\gamma_{3}^{2}}<0 \Rightarrow$ optimal network size is negative?

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## Optimal Non-Linear Network Size

- Student-Teacher framework with logistic sigmoid activation functions:

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h^{(k)}=\sigma\left(W^{(k)} h^{k-1}\right)
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## Optimal Non-Linear Network Size

- Student-Teacher framework with logistic sigmoid activation functions:

$$
h^{(k)}=\sigma\left(W^{(k)} h^{k-1}\right)
$$

- Replace $W$ with larger $W^{\prime}$, with new synaptic weights initialized to zero
- Through some derivation I didn't have time to read:

$$
N^{*}=\frac{T \gamma_{2}^{2}}{\gamma_{3}^{2}}\left[\frac{\gamma_{1}^{2} N}{\gamma_{2}^{2} N^{*}}\right]
$$

## Optimal Non-Linear Network Size



## Takeaways

- Larger networks learn better, but
- Intrinsically noisy synapses eventually negate benefits of larger network size
- Experimental Prediction: Circuit size should be inversely proportional to per-synaptic rate of change
- Experimental Prediction: suppression of synaptic noise allows for larger circuit formation


## Questions?



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